Privacy Policy for the International Sodium Battery Symposium 2021

This privacy information informs you about the processing of your personal data that we process in connection with your online and/or presence participation in the International Sodium Battery Symposium 2021 (hereinafter referred to as: event). Your personal data will be processed in accordance with the applicable data protection regulations.

According to Art. 4 No. 1 of the German Data Protection Act (DSGVO), personal data is any information that relate to an identified or identifiable natural person.

You have the possibility to participate physically on site or online via live stream.

If you wish to participate in the event in the form of questions, comments or votes, this can be done web-based (online) only. Due to the fact that not all participants will be present at the event, oral questions, comments or votes are not possible.

For online participation in the conference (live stream, questions, etc.) we use the tool "BigMarker" from BigMarker LLC in the USA (www.bigmarker.com). Herefore, we do need your consent for data transfer to the USA. For details, please refer to section 2 b and Appendix 1 of the Privacy Policy.

1. Name and contact details of the data controller and the company data protection officer

Responsible in the sense of Art. 4 No. 7 DSGVO:

Fraunhofer-Gesellschaft zur Förderung der angewandten Forschung e.V.
Hansastraße 27 c,
80686 Munich
Email: info@zv.fraunhofer.de
Phone: +49 (0)89 1205-0
Fax: +49 (0)89 1205-7531

for the institute

Fraunhofer-Institut für Keramische Technologien und Systeme IKTS
Winterbergstr. 28
01277 Dresden

E-Mail: datenschutz@ikts.fraunhofer.de
Phone: +49 351 2553-7811
fax: +49 351 2554-146
Fraunhofer’s company data protection officer can be contacted via the address above in Munich, Germany, at datenschutz@zv.fraunhofer.de.

If you have any questions about data protection law or your rights as a data subject, you can contact our data protection officer directly at any time.

2. Processing of personal data and purposes of processing

a) Event registration

In the context of the registration for the event we collect the following mandatory data:

- Name, first name
- Address,
- Payment data, depending on the payment method you choose (for example credit card data, bank details),
- e-mail address,
- Company, Position,

The processing of the mandatory data is carried out in order to identify you as a participant of the event, to check the plausibility of the data entered, to reserve the place of participation and to establish or implement the contract for participation with you.

In addition, we need your data in order to create name badges and participation lists for the further participants, if necessary, and to provide you with information about the event before, during and after the event. This is done in order to enable you to participate optimally and to enable us to plan and guarantee a smooth process.

Payment data are needed for billing the participation fee.

The data processing is carried out at your request and is required in accordance with Art. 6 Para. 1 p. 1 lit. b DSGVO for the purposes mentioned for the fulfilment of the participation contract and for the implementation of pre-contractual measures.

We will only use your e-mail address to inform you about similar events in the future if you have explicitly consented to such use or if we have informed you separately when collecting your e-mail address and pointed out your right to object to such use at any time. If this use is not based on consent, the processing is based on Art. 6 Para. 1 p. 1 lit. b DSGVO. We have a legitimate interest in informing our participants about further events organized by us.

The personal data collected by us for the event will, in the case of events for which a fee is charged, generally be stored until the end of the regular limitation period of 3 years after the end of the year in which the event took place, and then deleted. In the case of free events, we delete the personal data collected by us no later than six months after the event took place. Data will only be stored beyond the period stated in each case to the extent necessary:

- We are obliged to store the data for a longer period of time according to Art. 6 Para. 1 p. 1 lit. b DSGVO due to legal storage and documentation obligations
(especially § 147 AO). In this case, the data will only be stored to the extent required by the obligation to preserve records.

- You have consented to storage beyond this in accordance with Art. 6 Para. 1 p. 1 lit. b DSGVO.
- We will use your e-mail address to inform you about future events by e-mail, subject to the requirements of § 7 para. 3 UWG. In this case your e-mail address and your first and last name will be stored until you object to processing for this purpose.

**Online registration**

If you register via an online form on our website, please also note our data protection information, which can be found on the website, explaining which data is already collected and processed by visiting our website.

**AWR**

As a research institute, we are also subject to various foreign trade law requirements (including VO 2580/2001/EG). In order to properly comply with these legal requirements, we use your first and last name, as well as your address and date of birth, to carry out sanctions list checks, among other things. The processing is carried out on the basis of Art. 6 Para. 1 p. 1 lit. b DSGVO. We have a legitimate interest in processing the above-mentioned data to the extent that we are otherwise threatened with legal consequences as the responsible party.

**b) Online participation (including participation options)**

If you do not participate in the event on site but online via livestream, the following conditions apply. The following provisions also apply if you are on site and wish to participate in the event in the form of questions, comments or voting. This is possible web-based (online) only. In consideration of the participants not being present at the event, oral questions, comments or votes are not possible.

For online participation in the conference (live stream, questions, etc.) we use the “BigMarker” tool by BigMarker LLC, 223 West Erie Street, Chicago, IL 60654, USA (www.bigmarker.com).

All you need is a web browser to participate. You do not need to download any special software.

We will give BigMarker your first and last name and your e-mail address. On our behalf, BigMarker will send you an individual link for online participation in the event.

The following data may be collected during participation in the online event:

- Access data: e.g. an individualized link via which you can dial into the online event, name, e-mail address
- Content data: Contents of your contributions, e.g. in chats or during voting
- Profile data: Data that you have voluntarily released about yourself in connection with the online event.
- Dial-in data: This includes, for example, the date and time of your dial-in into the event and the time you left.

Unless otherwise specified, processing is carried out for the technical and content-related execution of the online event, i.e. for the execution of the contract (Art. 6 Para. 1 p. 1 lit. b DSGVO.).

The above-mentioned data will be deleted within 3 months after the event.

The following information is visible to other participants who are not organizers during the conference: your name, profile photo and chat contributions

We do not record whether you have attentively attended the event (e.g. whether you have activated windows other than the online event window during the event).

BigMarker is located in the United States, a country for which there is no EU Commission decision that the country has a level of protection for personal data that is adequate for the EU (so-called "unsafe third country"). We therefore ask you to give your consent to transfer data to BigMarker in the USA as an unsafe third country in the course of the registration process. Please see the appendix for the content of the consent. You can revoke your consent at any time with effect for the future (contact details see above). Without your consent or in case of revocation, you will not be able to register for the conference. However, you can avoid the data transfer to the USA by attending the conference as a pure listening participant.

In addition, we have concluded a contract with BigMarker in accordance with the EU standard contract clauses. The text is available at https://eur-lex.europa.eu/legal-content/DE/TXT/HTML/?uri=CELEX:32010D0087&from=en (see appendix).

BigMarker processes your data for the purpose and in accordance with our instructions by way of order processing.

BigMarker acts as an independent responsible party with regard to your user account that may have been created with BigMarker.

In addition, the data protection information and cookie information of BigMarker are available at https://get.bigmarker.com/legal/privacy-policy. BigMarker may then collect analysis data about your device (e.g. operating system, IP address, approximate location, browser type) and your use of the web application (e.g. websites visited) and use it to improve its products and services.

c) Image and sound recordings

During the registration process, we ask you for your optional permission to make sound and image recordings during the event. The content of the consent and further information on this can be found in the appendix to this data protection information.
3. Data transfer to third parties

There will be no further transmission of your personal data to third parties for purposes other than those listed below.

   a) For contract processing

To the extent permitted by law and in accordance with Art. 6 Para. 1 p. 1 lit. b DSGVO for the processing of contractual relation with you, your personal data will be disclosed to third parties. This includes in particular the transfer to event partner BigMarker LLC for the purpose of conducting the online event as well as the transfer of payment data to any payment service providers or credit institutions in order to process the payment of the participant fees. The data passed on may be used by the third party exclusively for the purposes mentioned.

   b) For further purposes

In addition, we only pass on your personal data to third parties if:

- you have given your express consent in accordance with Art. 6 Para. 1 p. 1 lit. b DSGVO or
- in the event that there is a legal obligation to pass on the data pursuant to Art. 6 Para. 1 p. 1 lit. c DSGVO.

4. Rights of the persons concerned

You have the right:

- according to Art. 7 para. 3 DSGVO to revoke your consent once given to us at any time. As a result, we are not allowed to continue the data processing that was based on this consent in the future;
- in accordance with Art. 15 DSGVO to request information about your personal data processed by us. In particular, you may request information on the purposes of processing, the category of personal data, the categories of recipients to whom your data has been or will be disclosed, the planned storage period, the existence of a right of correction, deletion, restriction of processing or opposition, the existence of a right of appeal, the origin of your data, if not collected by us, as well as the existence of automated decision making including profiling and, if applicable, meaningful information on the details of such data;
- in accordance with Art. 16 DSGVO, to immediately demand the correction of incorrect or incomplete personal data stored by us;
- pursuant to Art. 17 DSGVO to demand the deletion of your personal data stored with us, unless processing is necessary to exercise the right to freedom of expression and information, to fulfil a legal obligation, for reasons of public interest or to assert, exercise or defend legal claims;
- pursuant to Art. 18 DSGVO, to demand the restriction of the processing of your personal data, as far as the accuracy of the data is disputed by you, the processing is unlawful but you refuse the deletion, we no longer require the data
but you require it for the assertion, exercise or defence of legal claims or you have lodged an objection to the processing pursuant to Art. 21 DSGVO;
- in accordance with Art. 20 DSGVO, to receive your personal data that you have provided us with in a structured, common and machine-readable format or to request that it be transferred to another responsible party and
- complain to a supervisory authority in accordance with Art. 77 DSGVO. As a rule, you can turn to the supervisory authority of your usual place of residence or workplace or our association headquarters for this purpose.

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<th><strong>Right of objection</strong></th>
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<td>If your personal data are processed on the basis of legitimate interests pursuant to Art. 6 Para. 1 p. 1 lit. f DSGVO, you have the right to object to the processing of your personal data pursuant to Art. 21 DSGVO, if there are reasons for doing so arising from your particular situation or if the objection is directed against direct marketing. In the latter case, you have a general right of objection, which will be implemented by us without specifying a special situation.</td>
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<td>If you wish to exercise your right of objection, simply send an e-mail to <a href="mailto:datenschutz@ikts.fraunhofer.de">datenschutz@ikts.fraunhofer.de</a></td>
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ANNEX 1: CONSENT TO DATA TRANSFER TO UNSAFE THIRD COUNTRIES

You give us your consent - revocable at any time with effect for the future - to transfer your data to BigMarker LLC in the USA (see Section 2c of the Data Protection Regulations). For the USA, there is no EU Commission decision that the country has a level of protection for personal data that is adequate for the EU (so-called "unsafe third country"), and no measures have been taken (e.g. contractual agreements) to compensate this deficit.

With your consent, you expressly agree, accepting the risks mentioned below, that we may transfer your data to the aforementioned companies in unsafe third countries, in particular the USA.

Processing in these countries is carried out without having to comply with the data protection principles of legality, processing in good faith, transparency, purpose limitation, data minimization, accuracy and memory limitation, integrity and confidentiality as laid down in the EU. In addition, your rights to information, disclosure, correction, deletion, restriction of processing, data transferability and opposition guaranteed under EU law may not be guaranteed in these countries.

The rule of law (e.g. the principle of proportionality), respect for human rights and fundamental freedoms (e.g. the right to protection of personal data) in the country concerned may not meet EU standards. This applies, for example, to regulations on public security, defense, national security and criminal law, as well as to the access of authorities to personal data and the application of these regulations. There may also not be sufficient protection for the further transfer of personal data to other unsafe third countries. The administrative or judicial enforcement of your rights may be limited in unsafe third countries compared to the EU.

Unsecure third countries may also not have data protection supervisory authorities comparable to those in the EU, or they may not be able to operate effectively. Authorities in unsafe third countries may not have sufficient enforcement powers and assistance and advice to data subjects and supervisors may not cooperate with those of the EU.

In addition, especially in the USA, government agencies may have easier and wider access to data without restrictions on proportionality and necessity comparable to those of the EU. In addition, you cannot take legal action to enforce compliance with the requirements for access to data by non-U.S. government entities.
APPENDIX 2: CONSENT TO IMAGE AND SOUND RECORDINGS

Declaration of consent on image and sound recordings

Data protection and transfer of rights

The granting of consent is voluntary. Should you decide against granting it, you will not suffer any disadvantages.

Please also note the attached data protection information.

Rights of use

The Fraunhofer-Gesellschaft is entitled to distribute and publicly reproduce or display the photographs exclusively, without any restrictions in terms of time or space, and to edit them in compliance with the personal rights of the persons depicted, within the framework of its own public relations work, both for the individual institutes and other facilities and for the Fraunhofer-Gesellschaft e.V. as a whole. The right of use granted includes the right to permanent or temporary reproduction, in whole or in part, by any means and in any form (physical or non-physical). The right of use also includes use for permanent archiving.

The Fraunhofer-Gesellschaft is entitled to transfer the above rights to third parties, in whole or in part, without further consent, or to grant third parties simple rights of use in the recordings.

The person depicted shall not be entitled to be named. The person depicted shall receive no remuneration for the consent granted.

The type, scope and purposes of use are specified in the following declaration of consent by the person depicted.
Declaration of consent

I hereby agree that the

Fraunhofer-Gesellschaft
zur Förderung der angewandten Forschung e.V.
Hansastraße 27 c,
80686 München

(hereinafter referred to as "Fraunhofer")

for the

Fraunhofer Institute for Ceramic Technologies and Systems IKTS
Winterbergstr. 28
01277 Dresden

(in the following "Fraunhofer IKTS"

1. takes photographs and/or video recordings with or without sound (recordings), including screenshots of the online conference of me on January 13 and 14, 2021 during SBS2 and subsequently publishes in the following media as part of Fraunhofer’s public relations work:

- Fraunhofer web pages and project web pages that may be operated jointly with other partners
- Intranet of Fraunhofer
- Media database
- Social media platforms (Facebook, Instagram, YouTube, Twitter, LinkedIn, Xing)
- Publications of Fraunhofer, especially flyers and similar publications

Your name, profile photo and your chat posts can also be displayed on the pictures taken.

The purpose of this publication is to document and communicate the activities of Fraunhofer internally and externally, to keep the Fraunhofer website attractive and up-to-date, and to draw attention to past, current and future projects and events in the media listed.
1. You may withdraw your consent at any time, without stating your reasons, by contacting the Fraunhofer-Gesellschaft zur Förderung der angewandten Forschung e.V., Hansastraße 27c, 80007 München, Germany, or by sending an e-mail to datenschutz@ikts.fraunhofer.de.